## Reverse Mathematics of Two Theorems of Graph Theory

### Jeff Hirst Appalachian State University Boone, NC

March 5, 2010

Mathematics Colloquium College of Charleston

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

**The rule:** Vertices connected by an edge must have different colors.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへぐ





**The rule:** Vertices connected by an edge must have different colors.

▲□▶ ▲□▶ ▲□▶ ▲□▶ = 三 のへで



**The rule:** Vertices connected by an edge must have different colors.

▲□▶ ▲□▶ ▲□▶ ▲□▶ = 三 のへで











**The rule:** Vertices connected by an edge must have different colors.



#### Theorem

Every graph with no cycles of odd length can be 2-colored.

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ● ● ●

**The rule:** Vertices connected by an edge must have different colors.



#### Theorem

Every graph with no cycles of odd length can be 2-colored.

What is the logical strength of this statement?

・ コット (雪) ( 小田) ( コット 日)

### **Reverse Mathematics**

**Goal:** Determine exactly which set existence axioms are needed to prove familiar theorems.

Method: Prove results of the form

 $\mathsf{RCA}_0 \vdash \boldsymbol{AX} \leftrightarrow \boldsymbol{THM}$ 

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ● ● ●

where:

- RCA<sub>0</sub> is a weak axiom system,
- **AX** is a set existence axiom selected from a small hierarchy of axioms, and
- **THM** is a familiar theorem.

## Why bother?

Work in reverse mathematics can:

- precisely categorize the logical strength of theorems.
- differentiate between different proofs of theorems.
- provide insight into the foundations of mathematics.
- utilize and contribute to work in many subdisciplines of mathematical logic – including proof theory, computability theory, models of arithmetic, etc.

(日) (日) (日) (日) (日) (日) (日)

# RCA<sub>0</sub>

### Language:

Integer variables: x, y, z Set variables: X, Y, Z

### Axioms:

basic arithmetic axioms

(0, 1, +,  $\times$ , =, and < behave as usual.)

**Restricted induction** 

 $(\psi(\mathbf{0}) \land \forall n(\psi(n) \rightarrow \psi(n+1))) \rightarrow \forall n\psi(n)$ 

where  $\psi(n)$  has (at most) one number quantifier.

Recursive set comprehension

If  $\theta \in \Sigma_1^0$  and  $\psi \in \Pi_1^0$ , and  $\forall n(\theta(n) \leftrightarrow \psi(n))$ , then there is a set X such that  $\forall n(n \in X \leftrightarrow \theta(n))$ 

 The smallest ω-model of RCA<sub>0</sub> consists of the usual natural numbers and the computable sets of natural numbers. We write M = (ω, REC).

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

- The smallest  $\omega$ -model of RCA<sub>0</sub> consists of the usual natural numbers and the computable sets of natural numbers. We write  $\mathfrak{M} = \langle \omega, \mathsf{REC} \rangle$ .
- Elements of countable collections of objects can be identified with natural numbers.

(ロ) (同) (三) (三) (三) (○) (○)

- The smallest  $\omega$ -model of RCA<sub>0</sub> consists of the usual natural numbers and the computable sets of natural numbers. We write  $\mathfrak{M} = \langle \omega, \mathsf{REC} \rangle$ .
- Elements of countable collections of objects can be identified with natural numbers.
- RCA<sub>0</sub> can prove the arithmetic associated with pairing functions.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

- The smallest  $\omega$ -model of RCA<sub>0</sub> consists of the usual natural numbers and the computable sets of natural numbers. We write  $\mathfrak{M} = \langle \omega, \mathsf{REC} \rangle$ .
- Elements of countable collections of objects can be identified with natural numbers.
- RCA<sub>0</sub> can prove the arithmetic associated with pairing functions.
- Sets of pairs correspond to functions and/or countable sequences.

< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

- The smallest  $\omega$ -model of RCA<sub>0</sub> consists of the usual natural numbers and the computable sets of natural numbers. We write  $\mathfrak{M} = \langle \omega, \mathsf{REC} \rangle$ .
- Elements of countable collections of objects can be identified with natural numbers.
- RCA<sub>0</sub> can prove the arithmetic associated with pairing functions.
- Sets of pairs correspond to functions and/or countable sequences.
- Many mathematical concepts can be encoded in terms of such sequences. Second order arithmetic is remarkably expressive.

### Examples

# Theorem $(RCA_0)$ Every finite graph with no cycles of odd length can be 2-colored.

◆□▶ ◆□▶ ◆ □▶ ◆ □▶ ─ □ ─ の < @

### Examples

# Theorem $(RCA_0)$ Every finite graph with no cycles of odd length can be 2-colored.

# Theorem $(RCA_0)$ Every connected graph with no cycles of odd length can be 2-colored.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへで

## WKL<sub>0</sub>

Weak König's Lemma

Statement: Big very skinny trees are tall.

More formally: If T is an infinite tree in which each node is labeled 0 or 1, then T contains an infinite path.

The subsystem WKL<sub>0</sub> is RCA<sub>0</sub> plus Weak König's Lemma.

There is an infinite computable 0 - 1 tree with no infinite computable path, so  $\langle \omega, \text{REC} \rangle$  is not a model of WKL<sub>0</sub>.

Conclusion:  $RCA_0 \not\vdash WKL_0$ 

Finally! Some reverse mathematics!

Theorem

(RCA<sub>0</sub>) The following are equivalent:

1. WKL<sub>0</sub>.

2. Every graph with no cycles of odd length can be 2-colored.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

Suppose *G* is a graph with vertices  $v_0, v_1, v_2, ...$  and no odd cycles.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへぐ

Suppose *G* is a graph with vertices  $v_0, v_1, v_2, ...$  and no odd cycles.

We need to use a 0 - 1 tree to cook up a 2-coloring of G.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへぐ

Suppose *G* is a graph with vertices  $v_0, v_1, v_2, ...$  and no odd cycles.

We need to use a 0 - 1 tree to cook up a 2-coloring of *G*.

Let *T* be the tree consisting of sequences of the form  $\langle i_0, i_1, \ldots, i_n \rangle$  where the sequence is a correct 2-coloring of the subgraph of *G* on the vertices  $v_0, v_1, \ldots, v_n$ .

Since *G* has no odd cycles,  $RCA_0$  proves *T* contains infinitely many nodes.

Suppose *G* is a graph with vertices  $v_0, v_1, v_2, ...$  and no odd cycles.

We need to use a 0 - 1 tree to cook up a 2-coloring of *G*.

Let *T* be the tree consisting of sequences of the form  $\langle i_0, i_1, \ldots, i_n \rangle$  where the sequence is a correct 2-coloring of the subgraph of *G* on the vertices  $v_0, v_1, \ldots, v_n$ .

Since *G* has no odd cycles,  $RCA_0$  proves *T* contains infinitely many nodes.

Any path through T is the desired 2-coloring.

### A tool for reversals

### Theorem

(RCA<sub>0</sub>) The following are equivalent:

1. WKL<sub>0</sub>.

2. If f and g are injective functions from  $\mathbb{N}$  into  $\mathbb{N}$  and  $Ran(f) \cap Ran(g) = \emptyset$ , then there is a set X such that  $Ran(f) \subset X$  and  $X \cap Ran(g) = \emptyset$ .

(ロ) (同) (三) (三) (三) (○) (○)

Comment: X in (2) is like a separating set for disjoint computably enumerable sets.

Suppose we are given *f* and *g* with  $Ran(f) \cap Ran(g) = \emptyset$ .

If, for example, f(3) = 0 and g(2) = 2, we will construct the graph *G* as follows:



< □ > < 同 > < 三 > < 三 > < 三 > < ○ < ○ </p>

Suppose we are given *f* and *g* with  $Ran(f) \cap Ran(g) = \emptyset$ .

If, for example, f(3) = 0 and g(2) = 2, we will construct the graph *G* as follows:



(日) (日) (日) (日) (日) (日) (日)

Add straight links for f and and shifted links for g.

Suppose we are given *f* and *g* with  $Ran(f) \cap Ran(g) = \emptyset$ .

If, for example, f(3) = 0 and g(2) = 2, we will construct the graph *G* as follows:



Add straight links for *f* and and shifted links for *g*, and 2-color.

Suppose we are given *f* and *g* with  $Ran(f) \cap Ran(g) = \emptyset$ .

If, for example, f(3) = 0 and g(2) = 2, we will construct the graph *G* as follows:



Add straight links for *f* and and shifted links for *g*, and 2-color.

A few other theorems equivalent to WKL<sub>0</sub>.

### Theorem

(RCA<sub>0</sub>) The following are equivalent:

- 1. WKL<sub>0</sub>.
- 2. Every ctn. function on [0, 1] is bounded. (Simpson)
- 3. The closed interval [0, 1] is compact. (Friedman)
- 4. Every closed subset of  $\mathbb{Q}\cap [0,1]$  is compact. (Hirst)
- 5. Existence theorem for solutions to ODEs. (Simpson)
- 6. The line graph of a bipartite graph is bipartite. (Hirst)
- 7. If  $\langle x_n \rangle_{n \in \mathbb{N}}$  is a sequence of real numbers then there is a sequence of natural numbers  $\langle i_n \rangle_{n \in \mathbb{N}}$  such that for each *j*,  $x_{i_j} = \min\{x_n \mid n \le j\}$ . (Hirst)

### Arithmetical Comprehension

 $ACA_0$  is  $RCA_0$  plus the following comprehension scheme:

For any formula  $\theta(n)$  with only number quantifiers, the set  $\{n \in \mathbb{N} \mid \theta(n)\}$  exists.

The minimum  $\omega$  model of ACA<sub>0</sub> contains all the arithmetically definable sets.

◆□▶ ◆□▶ ▲□▶ ▲□▶ □ のQ@

Note: WKL<sub>0</sub>  $\nvdash$  ACA<sub>0</sub>, but ACA<sub>0</sub>  $\vdash$  WKL<sub>0</sub>.

## ACA<sub>0</sub> and Graph Theory

### Theorem

(RCA<sub>0</sub>) The following are equivalent:

- 1.  $ACA_0$
- 2. Every graph can be decomposed into its connected components.

◆□▶ ◆□▶ ▲□▶ ▲□▶ □ のQ@

## ACA<sub>0</sub> and Graph Theory

### Theorem

(RCA<sub>0</sub>) The following are equivalent:

- 1. ACA<sub>0</sub>
- 2. Every graph can be decomposed into its connected components.

**Observation:** The proof of "every graph with no odd cycles can be two colored" that starts by decomposing the graph into its connected components makes use of the strong axiom  $ACA_0$ . That proof is provably distinct from our proof in  $WKL_0$ .

## Other theorems equivalent to ACA<sub>0</sub>

Theorem

(RCA<sub>0</sub>) The following are equivalent:

- $1. \ ACA_0.$
- 2. Bolzano-Weierstraß theorem. (Friedman)
- 3. Cauchy sequences converge. (Simpson)
- 4. Ramsey's theorem for triples. (Simpson)

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ● ● ●

## Other theorems equivalent to ACA<sub>0</sub>

Theorem (RCA<sub>0</sub>) *The following are equivalent:* 

- 1. ACA<sub>0</sub>.
- 2. Bolzano-Weierstraß theorem. (Friedman)
- 3. Cauchy sequences converge. (Simpson)
- 4. Ramsey's theorem for triples. (Simpson)

General rule of thumb: ACA<sub>0</sub> suffices for undergraduate math.

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ● ● ●

 $RCA_0$  proves transfinite induction for arithmetical formulas implies  $ACA_0$ . (Hirst)

## Other theorems equivalent to ACA<sub>0</sub>

Theorem (RCA<sub>0</sub>) *The following are equivalent:* 

- 1. ACA<sub>0</sub>.
- 2. Bolzano-Weierstraß theorem. (Friedman)
- 3. Cauchy sequences converge. (Simpson)
- 4. Ramsey's theorem for triples. (Simpson)

General rule of thumb: ACA<sub>0</sub> suffices for undergraduate math.

 $RCA_0$  proves transfinite induction for arithmetical formulas implies  $ACA_0$ . (Hirst)

Conclusion: All undergraduate math can be done via transfinite induction arguments.

### Ramsey's theorem on trees

RT<sup>1</sup>: If  $f : \mathbb{N} \to k$  then there is a  $c \le k$  and an infinite set H such that  $\forall n \in H f(n) = c$ .

TT<sup>1</sup>: For any finite coloring of  $2^{<\mathbb{N}}$ , there is a monochromatic subtree order-isomorphic to  $2^{<\mathbb{N}}$ .



These results extend to colorings of *n*-tuples.

# TT<sup>n</sup><sub>k</sub> parallels RT<sup>n</sup><sub>k</sub>

TT<sub>n</sub><sup>k</sup>: For any *k* coloring of the *n*-tuples of comparable nodes in  $2^{<\mathbb{N}}$ , there is a color and a subtree order-isomorphic to  $2^{<\mathbb{N}}$  in which all *n*-tuples of comparable nodes have the specified color.

Note:  $RT_k^n$  is an easy consequence of  $TT_k^n$ 

Results in Chubb, Hirst, and McNichol:

- There is a computable coloring with no Σ<sup>0</sup><sub>n</sub> monochromatic subtree. (Free.)
- Every computable coloring has a Π<sup>0</sup><sub>n</sub> monochromatic subtree. (Not free.)
- For  $n \ge 3$  and  $k \ge 2$ ,  $\text{RCA}_0 \vdash \text{TT}_k^n \leftrightarrow \text{ACA}_0$ .

# TT<sup>1</sup> and TT<sup>2</sup> are problematic

 $RCA_0 + \Sigma_2^0 - IND$  can prove  $TT^1$ .

 $RCA_0 + RT^1$  does not suffice to prove  $TT^1$ . Corduan, Groszek, and Mileti

Question: Does  $TT^1$  imply  $\Sigma_2^0 - IND$ ?

# TT<sup>1</sup> and TT<sup>2</sup> are problematic

 $RCA_0 + \Sigma_2^0 - IND$  can prove  $TT^1$ .

 $RCA_0 + RT^1$  does not suffice to prove  $TT^1$ . Corduan, Groszek, and Mileti

Question: Does  $TT^1$  imply  $\Sigma_2^0 - IND$ ?

 $RCA_0 + RT^2$  does not imply  $ACA_0$ . (Seetapun)

Does  $RCA_0 + TT^2$  imply  $ACA_0$ ? Does  $RCA_0 + TT^2$  imply  $WKL_0$ ?

### References

- Harvey Friedman, Abstracts: Systems of second order arithmetic with restricted induction, I and II, J. Symbolic Logic 41 (1976), 557–559.
- [2] Stephen G. Simpson, *Subsystems of second order arithmetic*, 2nd ed., Perspectives in Logic, Cambridge University Press, Cambridge, 2009.
- [3] Jennifer Chubb, Jeffry L. Hirst, and Timothy H. McNicholl, *Reverse mathematics, computability, and partitions of trees*, J. Symbolic Logic **74** (2009), no. 1, 201–215.

(ロ) (同) (三) (三) (三) (○) (○)

[4] Jared Corduan, Marsha Groszek, and Joseph Mileti, *Reverse mathematics and Ramsey's property for trees*, J. Symbolic Logic. To appear.